

JUL 18 2003

3745%

**FEET TRANSMITTAL
for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT **(\$)**

<i>Complete if Known</i>	
Application Number	10/038,164
Filing Date	January 2, 2002
First Named Inventor	Casey R. Winkel
Examiner Name	To Be Determined
Group/Art Unit	3745
Attorney Docket No.	42390P13383

METHOD OF PAYMENT (check one)

<input type="checkbox"/> Check	<input type="checkbox"/> Credit card	<input type="checkbox"/> Money Order	<input type="checkbox"/> Other	<input type="checkbox"/> None
<input checked="" type="checkbox"/> Deposit Account				

Deposit
Account
Number 02-2666

Deposit Account Name	Blakely, Sokoloff, Taylor & Zafman LLP
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The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments

Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20

Charge fee(s) indicated below, except for the filing fee
to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity			FeePad
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Description
1001	750	2001	375	Utility filing fee
1002	330	2002	165	Design filing fee
1003	520	2003	260	Plant filing fee
1004	750	2004	375	Reissue filing fee
1005	160	2005	80	Provisional filing fee

2. EXTRA CLAIM FEES

Total Claims		Extra Claims	Fee from below	FeePaid
Independent Claims		20**	=	
		3	=	
Multiple Dependent			X	
			X	
			=	

Large Entity		Small Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	<u>Fee Description</u>
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple Dependent claim, if not paid
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater. For Reissues, see below.*

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

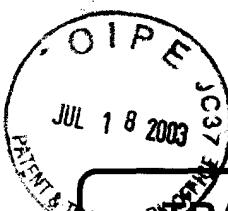
61

SUBMITTED BY

Complete (if applicable)

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Steven Laut	Registration No. (Attorney/Agent)	47,736	Telephone
Signature			Date	07/15/03

Based on PTO/SB/17 (01-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 05/02/2003.
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application No.	10/038,164
		Filing Date	January 2, 2002
		First Named Inventor	Casey R. Winkel
		Group Art Unit	3745
		Examiner Name	To Be Determined
Total Number of Pages in This Submission	13	Attorney Docket Number	42390P13383

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	<div style="border: 1px solid black; padding: 5px;">Copy of Search Report dated 10/06/2003; Copies of 4 Cited References; and Return Receipt Postcard</div>
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s)	
<input checked="" type="checkbox"/> PTO/SB/08		
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Basic Filing Fee		
<input type="checkbox"/> Declaration/POA		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

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JUL 24 2003

TECHNOLOGY CENTER R3700

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Steven Laut, Reg. No. 47,736 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	July 15, 2003

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CERTIFICATE OF MAILING/TRANSMISSION

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Typed or printed name	Margaux Rodriguez		
Signature		Date	July 15, 2003

Based on PTO/SB/21 (05-03) as modified by Blakely, Sokoloff, Taylor & Zafman (WHT) 05/02/2003.
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

CASEY R. WINKEL, ET AL.

Application No.: 10/038,164

Filed: January 2, 2002

For: **METHOD AND APPARATUS FOR FAN
REDUNDANCY**

Art Group: 3745

Examiner: To Be Determined

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

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Alexandria, VA 22313-1450

JUL 24 2003

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Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

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TC 2800 MAIL ROOM

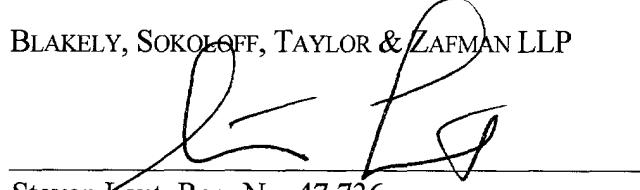
The references were cited in a Search Report dated June 10, 2003 (copy enclosed herewith) from a foreign patent office in a counterpart PCT application.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

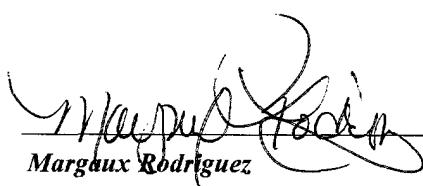
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP


Steven Laut, Reg. No. 47,736

Date: July 15, 2003

12400 Wilshire Blvd., 7th Floor
Los Angeles, California 90025
(714) 557-3800

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Margaux Rodriguez

07-15-03

Date



<p>Substitute for form 1449A/PTO  INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> </p>		<p><i>Complete if Known</i></p> <table border="1"> <tr> <td>Application Number</td> <td>10/038,164</td> </tr> <tr> <td>Filing Date</td> <td>January 2, 2002</td> </tr> <tr> <td>First Named Inventor</td> <td>Casey R. Winkel</td> </tr> <tr> <td>Art Unit</td> <td>3745</td> </tr> <tr> <td>Examiner Name</td> <td>To Be Determined</td> </tr> <tr> <td>Attorney Docket Number</td> <td>42390P13383</td> </tr> </table>		Application Number	10/038,164	Filing Date	January 2, 2002	First Named Inventor	Casey R. Winkel	Art Unit	3745	Examiner Name	To Be Determined	Attorney Docket Number	42390P13383
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Examiner Name	To Be Determined														
Attorney Docket Number	42390P13383														
Sheet	1	of	2												

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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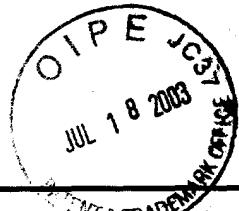
Examiner Signature		Date Considered	
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (05-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 05/02/2003.

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<p>Substitute for form 1449A/PTO & TRADEMA</p> <p>INFORMATION DISCLOSURE</p> <p>STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p>				<p>Complete if Known</p>	
Sheet	2	of	2	Application Number	10/038,164
				Filing Date	January 2, 2002
				First Named Inventor	Casey R. Winkel
				Art Unit	3745
				Examiner Name	To Be Determined
				Attorney Docket Number	42390P13383

OTHER ART - NON PATENT LITERATURE DOCUMENTS

Examiner Signature _____ Date Considered _____ 6/20/05

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¹Applicant's unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

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